

**APPLICATION FOR SUBMISSION TO THE ARIF CODE OF DEONTOLOGY CONCERNING
THE EXERCISE OF THE PROFESSION OF AN INDEPENDENT ASSET MANAGER**

MEMBER :

N° :

**A. ARE YOU OBLIGED TO SUBMIT TO PROFESSIONAL RULES OF CONDUCT
BY VIRTUE OF THE LAW TO WHICH YOUR ACTIVITY IS SUBJECTED?**

YES

NO

DO NOT KNOW

If yes, please specify the statutory rules applicable to your activity which oblige you to submit to rules of conduct:

.....

Your attention is expressly drawn to the fact that the subjection to ARIF's Code of Deontology will continue as long as your membership in ARIF will subsist and the activity will last because of which you are obliged by law to submit to such rules of conduct.

**B. DO YOU DECLARE THAT YOU VOLUNTARILY SUBMIT TO ARIF'S CODE OF
DEONTOLOGY IN CASE YOUR SUBJECTION WOULD NOT BE COMPULSORY BY
VIRTUE OF LAW?**

YES

NO

Your attention is expressly drawn to the fact that your voluntary subjection to ARIF's Code of Deontology cannot be revoked before the end of the audit period during which it has been declared.

Any subjected activity of a member subject to the Code of Deontology – regardless of whether compulsorily or voluntarily – must give rise to an audit in accordance with ARIF's Directives.

By answering yes to at least one of questions A and B hereinbefore, the member expresses his intent to submit fully and without reserve to ARIF's Code of Deontology (available on the Internet site of ARIF www.arif.ch), of which he hereto attaches a duly dated and signed copy for agreement with its content.

Please date and sign the present application for affiliation to the ARIF Code of Deontology

Authorised signature(s):

Date: